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Reverse engineering field-derived vertical distribution profiles to infer larval swimming behaviors

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Biophysical models are well-used tools for predicting the dispersal of marine larvae. Larval behavior has been shown to influence dispersal, but how to incorporate behavior effectively within dispersal models remains a challenge. Mechanisms of behavior are often derived from laboratory-based studies and therefore, may not reflect behavior in situ. Here, using state-of-the-art models, we explore the movements that larvae must undertake to achieve the vertical distribution patterns observed in nature. Results suggest that behaviors are not consistent with those described under the tidally synchronized vertical migration (TVM) hypothesis. Instead, we show (i) a need for swimming speed and direction to vary over the tidal cycle and (ii) that, in some instances, larval swimming cannot explain observed vertical patterns. We argue that current methods of behavioral parameterization are limited in their capacity to replicate in situ observations of vertical distribution, which may cause dispersal error to propagate over time, due to advective differences over depth and demonstrate an alternative to laboratory-based behavioral parameterization that encompasses the range of environmental cues that may be acting on planktic organisms.

larval behavior | vertical migration | larval swimming |
reverse engineering | biophysical modeling

Larval dispersal is a primary factor shaping the distribution of marine species and influencing the structure of marine communities (1). Understanding mechanisms of dispersal is, therefore, imperative to predicting species distributions (2). Biophysical modeling—the tracking of particles assigned biological parameters (“behaviors”) within ocean models—has become a ubiquitous tool for predicting propagule dispersal in the marine environment (3–5). Models have become increasingly complex to enhance “realism,” yet despite these efforts, simulation outcomes often do not match the patterns observed in nature identified by genetic studies (6). As biophysical models are able to accurately predict the trajectories of abiotic particles (7), the decoupling of modeled and observed distributions is frequently attributed to poorly defined larval behavior mechanisms and a limited understanding of how to incorporate behaviors within dispersal models (6, 8).

In the context of biophysical modeling, behavior refers to applying an active swimming response, typically in the *z* dimension, to a model propagule (larvae). Planktic organisms generally swim at relatively slow speeds (millimeters to centimeters second^{−1}) in comparison with horizontal currents, which can be orders of magnitude faster (i.e., meters second^{−1}). As such, active horizontal movement, especially for the early life history stages of many marine organisms (which tend to be small), can be assumed to be passive. Swimming speeds can, however, exceed the vertical mixing velocities in the ocean (9), providing individuals with a mechanism by which they can alter their vertical position in the water column. When considered in conjunction with depth-related differences in horizontal velocity, vertical migration is argued to provide a mechanism through which weak-swimming individuals can manipulate their horizontal trajectory (10, 11). Such depth-related differences can be generated by Ekman processes, which can be

significant in both tidal (12) and open ocean environments (13), and tidally induced vertical shear (14).

Vertical swimming is often modeled in response to exogenous (i.e., external stimuli) or endogenous cues (e.g., circadian rhythm) (3, 15). This seems sensible, as laboratory studies have clearly shown that larvae can exhibit behavioral responses and directed movement in response to stimuli (16). In nature, however, organisms are likely exposed to multiple rather than single cues, which may alter their responses (17). Moreover, the scale and/or intensity of cues may be masked in nature such that behaviors observed in a laboratory are not always expressed in the field (18). As such, laboratory-observed behaviors in response to a single stimulus in a controlled environment may not be reflective of the in situ movements of larvae.

A number of field-based studies have highlighted changes in larval vertical distribution patterns that correlate with the tidal cycle: for instance, where larvae occupy surface waters during the flooding tide and remain in close proximity to the seabed during the ebbing tide or vice versa. Such tidally synchronized vertical migration (TVM) has been documented for a range of taxa (11, 19–21) across a range of larval ages (15, 22), and observations have been made in both estuarine (23, 24) and coastal (11, 25) environments. Active occupation of different depths during alternate tidal states (flood/ebb), often referred to as selective tidal stream transport (STST) (20, 26), allows organisms to exploit depth-related current differences. These observations are often interpreted as evidence of larval behavior and specifically, an energy-efficient tactic to facilitate migration over long distances or promote retention close to coastal areas. However, the mechanisms that govern tidally timed movements of marine larvae remain poorly resolved (26). Synchronization of movement with the

Significance

Estimating the dispersal of propagules in terrestrial, freshwater, and marine ecosystems has been of primary research interest for many years, yet efforts to accurately predict dispersal, especially in marine ecosystems, have remained a significant and unresolved challenge. A common approach is to use a biophysical model, but field studies and genetic tools reveal that these models can overestimate dispersal range, often attributed to inaccurate behavioral parameterization. This study reverse engineers a biophysical model in an effort to describe larval behavior. The approach demonstrated, which can be applied to any species with a larval phase, provides a method for assessing in situ larval behavior that negates the need for a mechanistic understanding of behavioral responses to cues.

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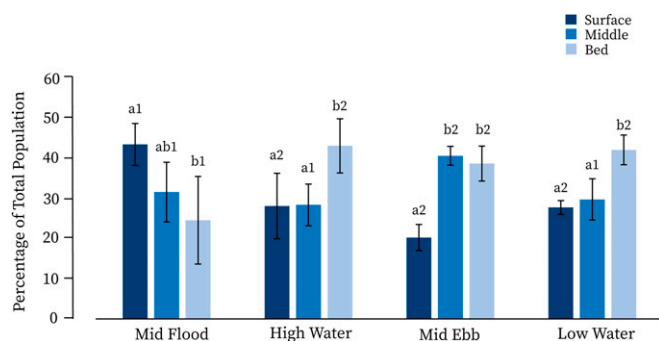


Fig. 1. Observed proportional abundance (percentage \pm SD) of *Mytilus* spp. larvae within each depth zone during four tidal states (midflood, high water, midebb, and low water). Multiple comparison outcomes are shown above each bar, where different letters and numbers indicate a significant difference ($P < 0.05$) in (a and b) larval proportions between depth zones within a tidal state and (1 and 2) between tidal states within a depth zone (Tukey's honestly significant difference test).

tide suggests the presence of (i) cue(s) and (ii) behavioral decision making (27).

Research has suggested that salinity gradients may act as a cue to vertical migration (15). Salinity gradients associated with tidal state would be expected in estuaries; however, in coastal environments where tidally correlated distribution profiles have also been observed, these signals would be much weaker and thus, more difficult for larvae to detect. At coastal sites, one could assume that there would be an absence of strong tidal signals, except in velocity (28). It was recently shown that some larval fish can detect flow velocity using their lateral line, providing a navigational signal in the absence of visual or chemical cues, but it is unclear if nonfish larvae can perceive changes in the magnitude and direction of the current due to their small size and the lack of focal points in the marine environment (29). There is, however, increasing evidence to suggest that they can respond to turbulence (17), either acting as a cue for larval behavior (30, 31) or alternatively, hindering a larva's motion strategy (32) due to disorientation preventing expression of a behavioral response (33). Weinstock et al. (25) suggest that TVM patterns may be passive and caused by vertical advection resulting from the tidal flow over a sloping shelf; however, Knights et al. (11) observed a shift in abundance from the surface waters during the flood tide to deeper waters at high water (Fig. 1) that contradicts this theory. It was suggested that larvae may be responding to tidal conditions to facilitate transport, but the exact mechanism could not be resolved.

Larval behavior can be applied in biophysical models through the application of simple "rules" [e.g., TVM can be simulated by programming "larvae" to swim up during the flood and down during the ebb (or vice versa)]. This approach has been implemented in numerous studies (15, 34, 35). However, is it appropriate to apply these rules, and if so, does our current understanding of larval movement allow accurate replication of in situ patterns? Although distribution profiles in ref. 11 correlated to tidal state, the patterns observed were not analogous STST theory in which larval abundances would be expected to be greatest in the surface waters during midflood and high water to promote advection toward the coast and greatest near the bed during midebb and low water to limit offshore transport (26). Instead, larvae were most closely associated with the sea bed during both slack water periods and with the middle and bed during the ebb tide (11). Despite these observations (11), it has been heavily cited as evidence of STST and specifically used as justification for TVM in dispersal models (35). We argue that this inaccurate and will lead to erroneous predictions of dispersal. Here, using a combination of empirical data and state-of-the-art modeling, we explore the active movements

that bivalve larvae would need to undertake to create the patterns observed in nature over the course of a tidal cycle. We test a range of swimming velocities within a model environment to examine if vertical swimming could feasibly be the mechanism that facilitates the patterns observed in situ given what we know about the swimming speed of early life history stages of bivalves.

Methods

Observations of Vertical Distribution Profiles. To determine the extent of vertical migration in a coastal environment, we used data collected for a previous study (11) from two 100×100 -m sites (site 1: $52^\circ 19.542' N$, $6^\circ 15.538' W$; site 2: $52^\circ 20.036' N$, $6^\circ 15.344' W$) within a 4-km^2 area with a mean depth of 24 m in the Southern Irish Sea off the coast of County Wexford, Ireland. The waters at this location are well mixed (36) with mean horizontal advection of up to 1 ms^{-1} (11) and vertical mixing at rates of up to $0.1\text{ m}^2\text{ s}^{-1}$ (Fig. 2), which can result in turbulent velocities that are orders of magnitude greater than the swimming speeds of larvae. These conditions, therefore, provide a challenging test for the effectiveness of larval behavior (e.g., swimming) to influence vertical distribution. Replicate samples ($n = 5$) were collected from three depth zones (surface, 0–8 m; midwater, 8–16 m; bottom, 16–24 m) during four consecutive tidal states (low-water slack, flood, high-water slack, ebb) over a full tidal cycle (12.1 h). Replicate sampling was undertaken in May/June and July/August to capture early- and late-stage larvae, respectively, and to encompass variation associated with differences in the tidal amplitude cycle (spring/neap). Previous analyses of the data have shown that larval vertical distribution profiles correlate to a change in the tidal state (flood, high-water slack, ebb, low-water slack) but not the tidal phase (spring/neap), ontogenetic larval stage, or sampling location. In this study, we take a numerical approach using a realistic modeled hydrodynamic environment to explore whether vertical swimming could feasibly be the mechanism that facilitates the observed changes in distribution over a tidal cycle.

The Hydrodynamic Model. A large-eddy simulation (LES) of an unstratified tidal boundary layer was used to generate a time- and depth-varying diffusivity coefficient. The purpose of the LES was to create a diffusivity matrix that represented the hydrodynamic environment at the time/location of sampling. The LES configuration was set up to be forced by time series profiles of "filtered" horizontal velocities obtained from an acoustic doppler current profiler record and solved for the turbulent "perturbation" flow (37). The LES domain was nominally $50 \times 50 \times 25$ m, with a lateral grid size of 0.4 m and stretched vertical grid sizes of 0.07–0.17 m. This method is validated against independent measurements of turbulence dissipation. The advantage of this method over a direct three-dimensional (3D) turbulent simulation of particles comes from the fact that online Lagrangian simulations are computationally demanding. This method undertakes trajectory analysis offline using the output from the LES, reducing the computational demand

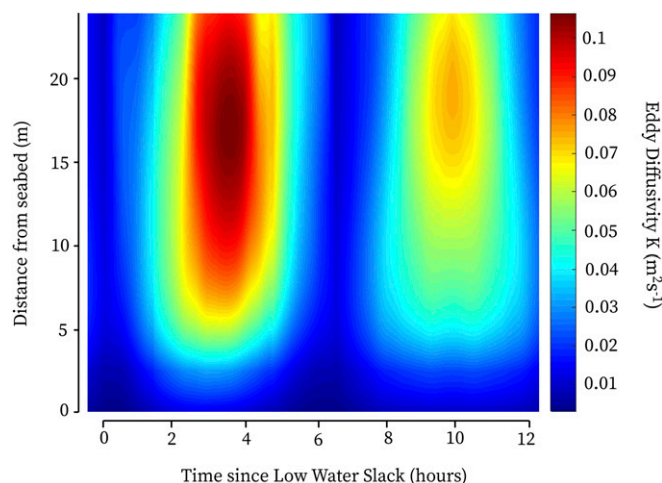


Fig. 2. Visualization of the eddy diffusivity field (K) created by the LES over a 12.1-h tidal period from low-water slack₁ to low-water slack₂ forced by time series profiles of "filtered" horizontal velocities and solved for the turbulent "perturbation" flow. Ref. 37 has full details.

made using alternative approaches to vertical distribution [i.e., “rule-based” behaviors (35)] and/or probabilistic larval vertical distribution profiles (51).

All velocities resulting in the smallest error between model and observations fell between the boundaries of larval swimming reported in the literature, suggesting that swimming is an important mechanism. Reported swimming speeds and sinking velocity estimates are typically highly variable both within and across taxa, although they are typically in the range of 1–10 mm s⁻¹ and rarely exceed 20 mm s⁻¹ (4.2 cm s⁻¹ in *Cancer magister* megalopa) (52). Our study showed that, for our data, upward swimming must be 2.5× faster than downward swimming to best match the observed profiles. This demonstrates the need for swimming speed to be a variable parameter in dispersal modeling studies and highlights that the speeds of upward and downward movements are not always consistent; however, we acknowledge that any difference in optimum swimming speed among tidal states will be most marked in slower swimming species, such as bivalves, and effects will likely be less pronounced for stronger swimmers (53).

The model-generated distribution profiles on the ebb tide were significantly different from those observed for all tested velocities, leading to low model compatibility (high water to midebb; midebb to low water). This is in marked contrast to the flood tide, where compatibility was high. Our approach was able to identify the optimum vertical velocities that give the “best fit” to the observed patterns when larval behavior is parameterized by constant swimming in one direction; however, the low compatibility between the modeled data and observed profiles suggests that we do not fully understand the behavioral responses of the larvae and their relationship with the physical characteristics of the ocean during this particular tidal state. For instance, it is possible that spatially and/or temporally inconsistent behavioral responses in situ may cause larval swimming to differ among depths over even shorter timescales. One possible solution to this problem might be to use higher spatiotemporal resolution in situ sampling coupled with a short model internal timestep in an effort to improve model compatibility. This process alone may provide further insights into the relationship between manifestation of larval behaviors in response to their environment while simultaneously supporting improved model compatibility and better characterization of larval behavior within model frameworks.

Due to the sampling regime of the original study, our model was only able to reverse engineer optimum swimming speeds during daylight hours. Diel vertical migration (DVM) occurs when organisms synchronize their vertical movement to the day/night cycle. Such behavior, thought to be a predation avoidance response (54), has been documented for a number of taxa (55–57). Whether bivalve larvae exhibit DVM remains unclear; there is conflicting evidence in the literature (57, 58), and differences may well be location specific. Future research would benefit from sampling programs that encompass the 24-h diel cycle to encapsulate potential variation in the vertical distribution of larvae within the study domain due to the day/night cycle.

The model system of this study assumes a well-mixed open coastal environment with a flat bathymetry and laterally homogeneous spatially averaged velocities. Given this and the fact that the LES model could be directly forced by observed velocities suggest that the LES data broadly described the hydrodynamic conditions that the larvae would experience throughout the study domain. It must be noted, however, that, in environments with high spatial heterogeneity (for example, over sloping bathymetry or across lateral or vertical frontal systems), differential vertical mixing may influence larval ability to regulate depth as expected. Stratification of the water column has been shown to alter the vertical migration of marine organisms (57, 59) by acting as a barrier to vertical movement (60). Should our approach be undertaken to infer

larval swimming in a more heterogeneous environment, such as an estuary, the underlying hydrodynamic model should be designed as to adequately represent realistic conditions.

The cues that govern larval swimming responses in situ remain unclear and were beyond the scope of this study. It has been previously suggested that some larvae may respond to a hierarchy of cues; indeed, many have the sensory ability to do so (16, 28). Hierarchical responses to stimuli have been shown to influence the vertical migration of a range of taxa, including the larvae of sponges (18) and fish (61), and therefore, it is possible that a similar response exists in other organisms: for example, bivalves. If cues do influence the vertical migration of larvae in a hierarchical manner, their order of importance to the organism must be determined if behaviors are to be parameterized using a rule-based approach in dispersal models so that behaviors accurately depict responses in nature. This order may change over space and time and in relation to other cues, and therefore, rule-based models must account for this. Failure to do so could greatly contribute to model error.

With this in mind, accurately parameterizing larval behavior using a rule-based approach is clearly a complex endeavor that requires an in-depth understanding of a multitude of potential drivers of larval movement and knowledge of how these drivers influence both larvae and each other. Using field-derived vertical distribution data to set the goal posts, our approach allows larval behavior to be based on real-life changes in the vertical distribution patterns of larvae. By focusing on the active movements particles would be required to undertake within the model domain to achieve a distribution profile that is the least different from that observed in nature, we effectively bypass the need for a complex understanding of the mechanisms of planktic swimming and larval responses to behavioral stimuli, instead, we focus on the end goal: achieving a modeled distribution profile that accurately replicates nature.

Dispersal is a key mechanism that shapes the distribution of marine species, and thus, an understanding of how and why species disperse is imperative to the success of marine conservation agendas, fisheries management efforts, and attempts to minimize the risk of invasive species spread (2, 62, 63). Bio-physical modeling provides a cost-effective tool to estimate dispersal in the marine environment; however, inaccuracies within these models can misguide those using them, and consequently, decisions made off the back of inaccurate model estimations may be ineffective (64). This study demonstrates that active movement changes over the course of the tidal cycle at temporal scales typically not modeled. Our approach has reverse engineered model simulations to identify the larval swimming speeds and directions that generate the smallest error between modeled and observed distribution patterns. These estimates are not perfect, but as error is reduced compared with the passive model when particles are given active movement, we can conclude that larval swimming is an important mechanism in accurate depictions of vertical distribution. This approach will allow future research to determine the best-fitting behaviors of a range of taxa, where in situ vertical distribution data are/can be made available.

This study highlights that, over a period as short as 12 h, differences in behavior (i.e., speed/direction) required to replicate observed vertical distribution profiles are great. Our results indicate that current “rule-based” approaches to behavioral parameterization [for example, assigning a constant swimming speed to particles and/or assuming vertical direction with respect to tidal direction (35)] may lead to significant over- or underestimates of dispersal. For larvae swimming outside optimum speeds, modeled predictions of dispersal will become increasingly divergent over time in terms of match to in situ observations due to depth-related differences in current velocity, especially for species with planktonic larval durations longer than 1 d. This study offers an alternative

method of behavioral parameterization where behavior is inferred from the field rather than the laboratory, which will aid in minimizing the error associated with inaccurate vertical distribution profiles in biophysical models.

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